

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Teiichiro Nishizaka **Docket:** 16877
Serial No: Unassigned **Art Unit:** Unassigned
Filed: Herewith **Dated:** August 1, 2003
For: NON-VOLATILE SEMICONDUCTOR
MEMORY DEVICE, METHOD FOR
MANUFACTURING SAME AND METHOD
FOR CONTROLLING SAME

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

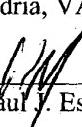
1. "A Novel 2-bit/cell MONOS Memory Device with a Wrapped-Control-Gate Structure that Applies Source-Side Hot Electron Injection" by Hideto Tomiye et al., 2002 Symposium on VLSI Technology Digest of a Technical papers, pp 206-207.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents and Trademarks, Alexandria, VA 22313 on August 1, 2003.

Dated: August 1, 2003


Paul J. Esatto, Jr.

2. Japanese Patent Application No. 2001-230332 dated August 24, 2001
3. Japanese Patent Application No. 2002-26149 dated January 25, 2002.
4. Japanese Patent Application No. 2001-357681 dated December 26, 2001.
5. U.S. Patent No. 6,399,441 issued to Ogura et al. dated June 4, 2002.
6. U.S. Patent No. 6,388,293 issued to Ogura et al. dated May 14, 2002.
7. Japanese Patent Application No. 2001-512290 dated August 21, 2001.
8. U.S. Patent No. 6,011,725 issued to Eitan dated January 4, 2000.
9. U.S. Patent No. 6,256,231 issued to Lavi et al. dated July 3, 2001.
10. Japanese Patent Application No. 2001-156189 dated June 8, 2001.

Applicant is submitting copies of the above-cited references, except the U.S. Patents, pursuant to the notice of waiver of 37 C.F.R. 1.98 (a) (2) published June 30, 2003. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.
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